

09-19-05

IFW



**BARNES & THORNBURG LLP**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

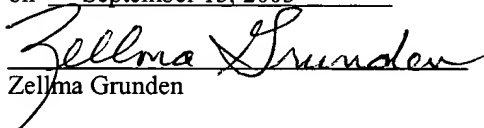
**PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641 }  
Application No.: 09/804667 ✓ }  
Confirmation No.: 1994 }  
Filing Date: March 12, 2001 }  
Group Art Unit: Unknown }  
Examiner Name: Unknown }  
Attorney Docket No.: 37168/82320 }  
First Named Inventor: Denis G. Schwartz }  
Title: METHOD AND APPARATUS }  
FOR PROVIDING }  
RETIREMENT INCOME }  
BENEFITS }

**Certificate Under 37 CFR 1.10**

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on September 15, 2005  
  
Zellma Grunden

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Express Abandonment Request                         |
| <input checked="" type="checkbox"/> Fee Attached          | <input type="checkbox"/> Information Disclosure Statement                    |
| <input checked="" type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Certified Copy of Priority Document(s)              |
| <input type="checkbox"/> After Final                      | <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |
| <input type="checkbox"/> Affidavits/declaration(s)        | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input type="checkbox"/> Extension of Time Request        |  |

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

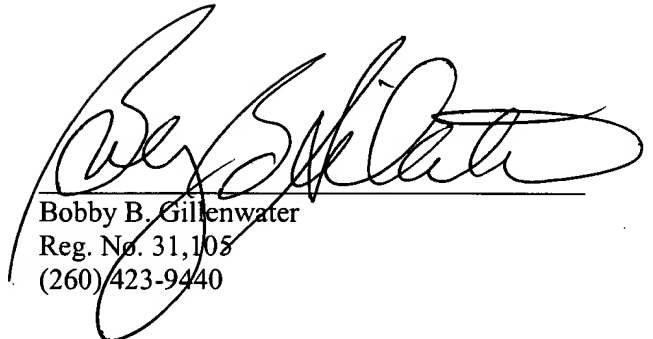
(a) Check totaling \$800.00

(b) Return Receipt Postcard

(c) \_\_\_\_\_

(d) \_\_\_\_\_

Remarks:

  
Bobby B. Gillenwater  
Reg. No. 31,108  
(260) 423-9440

Date: September 15, 2005

FWDS01 BBG 199529\_1

(Rev. 08/03)



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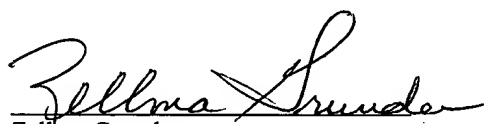
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**FEE TRANSMITTAL FOR FY 2005**

**TOTAL AMOUNT OF PAYMENT: \$800.00**

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

**Deposit Account Number: 02-1010 (37168/82320)**

**Deposit Account Name: Barnes & Thornburg LLP**

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Check**      ☐ Credit Card      ☐ Money Order      ☐ Other ☐ None

## **FEE CALCULATION**

### 1. Basic Filing Fee:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1011	\$300.00	2011	\$150.00	Utility Filing Fee	
1012	\$200.00	2012	\$100.00	Design Filing Fee	
1017	\$200.00	2017	\$100.00	Design Filing Fee (CPA)	
1013	\$200.00	2013	\$100.00	Plant Filing Fee	
1014	\$300.00	2014	\$150.00	Reissue Filing Fee	
1005	\$200.00	2005	\$100.00	Provisional Filing Fee	
				<b>SUBTOTAL (1)</b>	<b>0.00</b>

### 2. Patent Search Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1111	\$500.00	2111	\$250.00	Utility Fee	
1112	\$100.00	2112	\$50.00	Design Fee	
1113	\$300.00	2113	\$150.00	Plant Fee	
1114	\$500.00	2114	\$250.00	Reissue Fee	
				<b>SUBTOTAL (2)</b>	<b>0.00</b>

### 3. Patent Examination Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1311	\$200.00	2311	\$100.00	Utility Examination Fee	
1312	\$130.00	2312	\$65.00	Design Examination Fee	
1313	\$160.00	2313	\$80.00	Plant Examination Fee	
1314	\$600.00	2314	\$600.00	Reissue Examination Fee	
				<b>SUBTOTAL (3)</b>	<b>0.00</b>

### 4. Extra Claim Fees:

			Extra Claims		Fee from Below	Fee Paid
Total Claims	36	-20**=	16	x	50.00	800.00
Independent Claims	2	- 3**=	0	x		0.00
Multiple Dependent Claim						0.00
					<b>SUBTOTAL (4)</b>	<b>800.00</b>

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$50.00	2202	\$25.00	Claims in excess of 20
1201	\$200.00	2201	\$100.00	Independent claims in excess of 3
1203	\$360.00	2203	\$180.00	Multiple dependent claims, if not paid

1204	\$88.00	2204	\$44.00	** Reissue independent claims over original patent
1205	\$18.00	2205	\$9.00	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

#### 5. Application Size Fee

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250.00 (\$125.00 for small entity) for each additional 50 sheets or fraction thereof.

	Extra Sheets	Number of each additional 50 (Round up to whole number)	Fee from Below	Fee Paid
Total Sheets	<u>          </u> -100 = <u>          </u> /50 = <u>          </u>			
			SUBTOTAL (5)	0.00

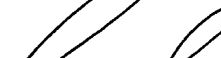
Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1081	\$250.00	2081	\$125.00	Sheets in excess of 100

#### 6. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$130.00	1052	\$130.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to Examiner action	
1251	\$120.00	2251	\$60.00	Extension for reply within first month	
1252	\$450.00	2252	\$225.00	Extension for reply within second month	
1253	\$1,020.00	2253	\$510.00	Extension for reply within third month	
1254	\$1,590.00	2254	\$795.00	Extension for reply within fourth month	
1255	\$2,160.00	2255	\$1,080.00	Extension for reply within fifth month	
1401	\$500.00	2401	\$250.00	Notice of Appeal	
1402	\$500.00	2402	\$250.00	Filing a brief in support of an appeal	
1403	\$1,000.00	2403	\$500.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$500.00	2452	\$250.00	Petition to revive - unavoidable	
1453	\$1,500.00	2453	\$750.00	Petition to revive - unintentional	
1501	\$1,400.00	2501	\$700.00	Utility issue fee	
1502	\$800.00	2502	\$400.00	Design issue fee	
1503	\$1,100.00	2503	\$550.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or	

Other fee (specify):

SUBTOTAL (6)

  
Bobby B. Gillenwater  
Reg. No. 31,105

(Rev. 12/04)



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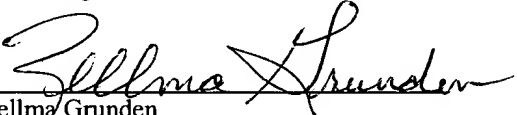
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**PRELIMINARY AMENDMENT**

Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to the examination of the above-identified application, please amend the application as follows: